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<b>PTO-1449 Modified</b>  <b>List of Patents and Publications Cited by Applicant</b> (Use several sheets if necessary)  <b>U.S. Department of Commerce Patent and Trademark Office</b>		<b>Docket No.</b> P23,305-A USA		<b>Application No.</b> APR 12 2002 09/935,287 Technology Center 2100		
		<b>Applicant(s)</b> J. Kopelman, C. Fralic, S. Balijepalli				
		<b>Filing Date</b> 8-22-2001		<b>Group Art Unit</b> <del>2165</del> 3627		
<b>U.S. PATENT DOCUMENTS</b> <i>(Part of Paper #4)</i>						
<b>Examiner Initials</b>		<b>Document No.</b>	<b>Date</b>	<b>Name</b>	<b>Class</b>	<b>Subclass</b>
<i>[Signature]</i>	AA	5,664,111	09/02/1997	K. Nahan et al.	705	27
	AB	5,732,400	03/24/1998	M. Mandler et al.	705	26
	AC	5,797,127	08/18/1998	Walker et al.	705/5	705/37
	AD	5,845,265	12/01/1998	T. Woolston	705	37
	AE	5,960,411	09/28/1999	P. Hartman et al.	705	26
	AF	6,012,045	01/04/2000	N. Barzilai, R. Davidson	705	37
<i>[Signature]</i>	AG	6,016,504	01/18/2000	J. Arnold et al.	709	200
	AH	6,029,141	02/22/2000	J. Bezos et al.	705	27
	AI					
	AJ					
<b>FOREIGN PATENT DOCUMENTS</b>						
<b>Examiner Initials</b>		<b>Document No.</b>	<b>Date</b>	<b>Country</b>	<b>Translation</b> Yes No	
<i>[Signature]</i>	AL	WO 99/07121	11/02/1999	PCT	X	
	AM					
<b>Examiner</b>	<i>[Signature]</i>		<b>Date Considered</b> 12-23-2004			



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<b>Form PTO-1449 Modified</b>  <b>List of Patents and Publications Cited by Applicant (Use several sheets if necessary)</b>  <b>U.S. Department of Commerce Patent and Trademark Office</b>		<b>Technology Center 2100</b>	
		<b>Docket No.</b> P23,305-A USA	<b>Application No.</b> 09/935,287
		<b>Applicant(s)</b> J. Kopelman, C. Fralic, S. Balijepalli	
		<b>Filing Date</b> August 22, 2001 <i>(Part of Ref #4)</i>	<b>Group</b> <del>2165</del> 3627
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
	AA	Mothers' Online Thrift Shop [online][excerpts printed on 6/17/2000-6/19/2000]. Retrieved from <a href="http://www.motshop.com">http://www.motshop.com</a>	
	AB	"Method For Buying and Selling Merchandise In A Network Of Users," a document alleged to have been filed as a patent application around June 1999.	
	AC		
	AD		
	AE		
	AF		
	AG		
	AH		
	AI		
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	AK		
	AL		

Examiner:



Date: 12-27-2004

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<b>Form PTO-1449</b>  <b>List of Patents and Publications Cited by Applicant</b> (Use several sheets if necessary)  <b>U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>		<b>Docket No.</b> P23,305-A USA		<b>Application No.</b> 09/935,287		
		<b>Applicant(s)</b> Joshua M. Kopelman, Srinivas Balijepalli, C. Fralic				
		<b>Filing Date</b> August 22, 2001		<b>Group</b> <del>2405</del> 3627		
<b>U.S. PATENT DOCUMENTS</b>						
<b>Examiner Initials</b>		<b>Document No.</b>	<b>Date</b>	<b>Name</b>	<b>Class</b>	<b>Subclass</b>
<i>JL</i>	AA	5,790,790	8/4/1998	Smith	395	200.36
	AB	6,047,264	4/4/2000	Fisher et al.	705	26
	AC	6,061,448	5/9/2000	Smith et al.	380	21
	AD	6,119,137	9/12/2000	Smith et al.	707	523
	AE	6,178,408 B1	1/23/2001	Copple et al.	705	14
	AF	6,192,407 B1	2/20/2001	Smith et al.	709	229
	AG	6,243,691 B1	6/5/2001	Fisher et al.	705	37
	AH					
	AI					
	AJ					
<b>FOREIGN PATENT DOCUMENTS</b>						
<b>Examiner Initials</b>		<b>Document No.</b>	<b>Date</b>	<b>Country</b>	<b>Translation</b>	
					<b>Yes</b>	<b>No</b>
<b>OTHER PUBLICATIONS (Including Author, Title, Date Pertinent Pages, Etc.)</b>						
<b>Examiner</b>	<i>[Signature]</i>		<b>Date Considered</b> 12-23-2004			



**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number 09/935-287

Filing Date 08/21/2001

First Named Inventor Joshua M. Kopelman

Group Art Unit 2465-3627

Examiner Name ~~W.A. O'Connor~~
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 MAY 18 2004

**GROUP 3600**  
 (Part of Paper #8)

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Attorney Docket No: P23,305-A USA

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
<i>JE</i>	US-20010037255	11/01/2001	Tambay, Roger, et al.			03/13/2001
	US-20020143660	10/03/2002	Himmel, Maria A., et al.			03/29/2001
	US-20020156686	10/24/2002	Reiner, Kraft, et al.			02/14/2001
	US-20020184116	12/05/2002	Tam, Richard K., et al.			04/04/2001
	US-20030093331	05/15/2003	Childs, Jane, et al.			11/13/2001
	US-5,825,881	10/20/1998	Colvin, Bryan S.			06/28/1996
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	US-6,108,493	08/22/2000	Miller, Bradley N., et al.			10/07/1996
	US-6,119,101	09/12/2000	Peckover			01/17/1997
	US-6,308,168	10/23/2001	Dovich, Ronald J., et al.			02/09/1999
	US-6,321,221	11/20/2001	Bieganski, Paul			07/17/1998
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	US 6,356,879 B2	03/12/2002	Aggarwal et al.			10/19/1998
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Examiner Initials *	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass
<i>JE</i>	EP-0957437	11/17/1999	Manohar, Nelson R., et al.		
	WO-0017792	03/30/2000	Linden, Gregory D., et al.		
	WO-0017793	03/30/2000	Jacobi, Jennifer A., et al.		
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	WO-0131537	05/03/2001	Brodsky, Alex, et al.		
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	WO-0116848	03/08/2001	Bowman-Amuah, Michel K.		
	WO-0129726	04/26/2001	Loucks, Russel J., et al.		
<i>JE</i>	WO-02037926	05/16/2002	Katz, Ronald A., et al.		
	WO-0219203	03/07/2002	Gregov, Andrej, et al.		

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DATE CONSIDERED 12-23-2004

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**Complete if Known**

Application Number	09/935-287
Filing Date	08/21/2001
First Named Inventor	Joshua M. Kopelman
Group Art Unit	<del>2165</del> 3627
Examiner Name	<del>HA</del> O'Connor

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Sheet 2 of 3

Attorney Docket No: P23,305-A USA

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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass
<i>JO</i>	WO-0229695	04/11/2002	Sobrado, Jose A., et al.		

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
<i>JO</i>		@MOTSHOP.COM, "Mothers' Online Thrift Shop", Storefront Development Corporation, at Mothers' Online Thrift Shop, ( <a href="http://www.motshop.com">http://www.motshop.com</a> ) excerpts printed 6/17/2000-6/19/2000, (6/17/2000), 25
		AMAZON, "Amazon.com: Books", [online] [Retrieved on 7/8/02] Retrieved from the Internet using <URL <a href="http://www.amazon.com/exec/obidos/tg/browse/-/283155/ref=tab_qw_b_3/102-9150031-1671331">http://www.amazon.com/exec/obidos/tg/browse/-/283155/ref=tab_qw_b_3/102-9150031-1671331</a> (3 pp.), (7/8/02),
		AMAZON, "An exemplary online retail seller was accessible at the time of filing of the above-identified application", Via the Internet URL <a href="http://www.amazon.com">http://www.amazon.com</a> , (3/3/2000),
		BOOKPRICE, "BookPrice Compare.com Coupons and discounts", [online] [Retrieved on 7/8/2002] Retrieved from the Internet using <URL <a href="http://www.bookpricecompare.com/bin/search.cgi?find=Compare&amp;A_0618037667=1">http://www.bookpricecompare.com/bin/search.cgi?find=Compare&amp;A_0618037667=1</a> (1 p.), (7/8/02),
		BOOKS.COM, "An exemplary online shopping agent was accessible at the time of filing of the above-identified application", Via the Internet URL <a href="http://www.books.com">http://www.books.com</a> , (3/3/2000),
		EBAY, "An Exemplary online at the time of filing of the above-identified application", Via the Internet URL <a href="http://www.ebay.com">http://www.ebay.com</a> , (3/3/2000),
		EBAY, "eBayListing: Action & Adventure", [online] [Retrieved on 7/8/2002] Retrieved from the Internet using <URL <a href="http://listings.ebay.com/aw/plistings/list/all_category271/index.html?ssPageName=bookhubactionadventure">http://listings.ebay.com/aw/plistings/list/all_category271/index.html?ssPageName=bookhubactionadventure</a> (4 pp.), (7/8/02),
		IACOBUCCI, et al., "Recommendation agents on the Internet", Journal of Interactive Marketing, v14n3, 2000-07-01, PP. 2-11, (1/7/2000),
		KUMAR, P., et al., "Recommendation Systems", A probabilistic analysis, J. Computer Systems Science, Vol. 63, No. 1, August 2001, pp. 42-61,
		MCDONALD, D., et al., "Expertise Recommender", A Flexible Recommendation System and Architecture, Department of Information and Computer Science, 10 pages.,
<i>JO</i>		PRICELINE, "Priceline.com", Name your own price and save on airline tickets, hotelrooms, new cars, home mortgages...[online] [Retrieved on 7/8/2002] Retrieved from the Internet using <URL <a href="http://www.priceline.com/customerservice/fag/howitworks/howitworks.asp?session_key=D10011AC23001">http://www.priceline.com/customerservice/fag/howitworks/howitworks.asp?session_key=D10011AC23001</a> , (7/8/02),

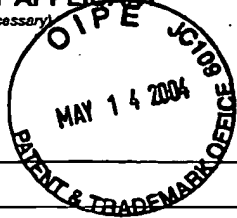
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Substitute for form 1449A/PTO  
**INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT**  
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<i>Complete if Known</i>	
Application Number	09/935-287
Filing Date	08/21/2001
First Named Inventor	Joshua M. Kopelman
Group Art Unit	<del>2465</del> 3627
Examiner Name	<del>NIA</del> O'Connor
Attorney Docket No: P23,305-A USA	

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**GROUP 3600**

	PRICELINE.COM, "An exemplary online reverse-auction was accessible at the time of filing of the above-identified application", <u>Via the Internet URL <a href="http://www.priceline.com">http://www.priceline.com</a>, (3/3/2000)</u> ,	
	SCHAFER, J B., et al., "E-Commerce Recommendation Applications", <u>GroupLens Research Project Dept. of Computer Science &amp; Engineering, University of Minnesota, Minneapolis, MN 55455., 1-24</u>	
	STOREFRONT DEVLOPMENT CORP., "Method For Buying And Selling Merchandise In A Network Of Users", <u>Excerpt of document titled "Method for Buying and Selling Merchandise In A Network of Users", a document alleged to have been filed as a patent application around June of 1999., (6/12/2000),17</u>	

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